FUNDING OPPORTUNITIES IN THE ARTS, HUMANITIES AND SOCIAL SCIENCES

Strategies for Supporting and Promoting a Grant-Seeking Culture
Presenters

Susan O. Gomes
Director of Research Development and Strategy
Harvard University, Faculty of Arts and Sciences

Barbara L. E. Walker, Ph.D.
Director of Research Development for the Social Sciences, Humanities, and Fine Arts
University of California at Santa Barbara

Caitlin McDermott-Murphy
Research Development Coordinator
Harvard University, Faculty of Arts and Sciences
Overview

• Why Seeking Grant Support is Important for Arts, Humanities and Social Science Faculty

• The Funding Landscape for the Arts, Humanities and Social Sciences

• Establishing a Culture of Grant-writing
Benefits for Institution

- Overhead

- Institutional prestige
  - AAU membership and several rankings algorithms take fellowships, grants, and awards into account (not just $ value)
    - Guggenheim, MacArthur and other fellowships
    - Disciplinary honors and awards

- Departmental and divisional review
Benefits for Faculty
Opportunity to broaden research scope and role

• Provide summer salary or course release/buyout to pursue scholarship

• Do more—use funding to publish, engage students, reach the community, travel to conferences and archives, arrange performances, conferences, and seminars, purchase supplies

• Increase visibility as a scholar and, in so doing, strengthen the case for tenure
Benefits for Faculty
Opportunity to enhance scholarship

- Collaboration with other scholars or students can lead to new areas of inquiry

- Grant writing improves faculty research
  - Requires thought about value and contribution of your project
  - Careful development of research questions/problem and methods
  - Elicits peer review

- Validates faculty scholarship through peer recognition
Benefits for Faculty

Opportunity to create a scholarly network

- Increases opportunity for collaboration, e.g., through seminars, workshops and conferences
- Convene scholars from around the world to discuss a research theme or problem

For Example:
- NEH Summer Seminar and Institutes grants
- NSF Conference/Workshops Grants
- Mellon Sawyer Seminars
Benefits for Faculty (new & junior)

"Grants I received from NEH early in my academic career truly laid the foundation for all I have been able to accomplish since. A summer stipend following my first year of teaching — I think it was for $2000 — meant I could pay my rent without teaching for two summer months. It not only led to a published article but, even more, was a priceless vote of confidence in the value of my work."

Deborah Tannen
University Professor in the Department of Linguistics at Georgetown University, author of "You Just Don’t Understand: Women and Men in Conversation."
Encouragement of interdisciplinary projects

Eligible projects include research that uses the knowledge and perspectives of the humanities and historical or philosophical methods to enhance understanding of science, technology, medicine, and the social sciences.

Arts, Science, Technology: Whether translating scientific data into visual, accessible forms or bringing methods of scientific inquiry to artmaking, the worlds of arts, science, and technology are increasingly intersecting in exciting ways, an intersection that the NEA is interested in encouraging.
Encouragement of interdisciplinary projects

• Building Community and Capacity for Data-Intensive Research in the Social, Behavioral, and Economic Sciences and in Education and Human Resources (BCC-SBE/EHR)

• Cultivating Cultures for Ethical STEM (CCE STEM)

• Digging into Data Challenge

• Dynamics of Coupled Natural and Human Systems (CNH)

• Interdisciplinary Behavioral and Social Science Research (IBSS)

• Science, Engineering and Education for Sustainability (SEES) portfolio
Articulating the Value of Sponsored Research

What faculty can do with a grant

- Materials acquisition, e.g., for museums, centers, etc.
- Travel to archives or conferences
- Public programs, e.g., exhibitions, outreach, films, etc.
- Fellowships (sabbatical funding)
- Book projects and publication subventions
- Course buy-out (at some universities)
- Salary for students, undergraduate and graduate
- Research
- Digital projects, e.g., websites, digital archives and databases
- Curriculum/course development
The Funding Landscape for Arts, Humanities and Social Sciences

**Congress should cut funding for political science research**

By Charles Lane, Published: June 4, 2012

The Republican-dominated House has passed an amendment to cut off funding for political science research through the National Science Foundation (NSF), and you and I should be outraged.

---

**Higher Ed Cuts in GOP Budget**

April 2, 2014

by Michael Stratford

WASHINGTON -- House Republicans on Tuesday unveiled their 2015 fiscal year budget, which proposes steep cuts to many domestic social programs, including reductions to Pell Grants, student loans, and research funding.

The *proposal*, by Representative Paul Ryan of Wisconsin, the
Major Funders: Federal Funding for the Social Sciences

National Science Foundation

• Social, Behavioral, and Economic (SBE) Sciences Directorate

• Outside of SBE, NSF has announced a number of funding opportunities where they explicitly encourage participation of social scientists as part of an interdisciplinary team

• Funding for the Social and Behavioral Sciences Directorate has increased to $272M for FY2014, compared to $247M in FY2011

• As of FY2011, SBE funded ~58% of federally funded research in SBE fields in academic institutions
Overall FY 2013 SBE Funding Rate: 18%

- BCS: 18%
- NCSE: 17%
- SES: 18%
- SMA: 20%

Actions: [Bar Graph]
Awards: [Bar Graph]
Major Funders: Federal Funding for SS

National Institutes of Health

- Alcohol Use Disorders: Treatment, Services, and Recovery Research
- Behavioral and Integrative Treatment Development Program
- Behavioral and Social Science Research on Understanding Health Disparities
- Behavioral Interventions to Address Multiple Chronic Health Conditions in Primary Care
- Dissemination and Implementation Research in Health
- Focal Cognitive Deficits in CNS Disorders
- Health Promotion Among Racial and Ethnic Minority Males
- Health Promotion for Children with Physical Disabilities through Physical Activity and Diet: Developing an Evidence Base
- Healthy Habits: Timing for Developing Sustainable Healthy Behaviors in Children and Adolescents
- Home and Family Based Approaches for the Prevention or Management of Overweight or Obesity in Early Childhood
- Interventions for Health Promotion and Disease Prevention in Native American Populations
- Research on Ethical Issues in Biomedical, Social, and Behavioral Research
Major Funders: Federal Funding for SS

Department of Education
- Fulbright-Hays Group Projects Abroad Program
- Undergraduate International Studies and Foreign Language Program
- Cognition and Student Learning
- Social and Behavioral Context for Academic Learning

Department of Defense
- Minerva Initiative: primary source of behavioral and social science research funding for the defense community

National Security Education Program
- Targets cultures deemed critical to U.S. National Security

Department of Justice
Major Funders: Federal Funding (additional sources)

Department of Agriculture

Department of State and U.S. Agency for International Development

Environmental Protection Agency

National Oceanic and Atmospheric Administration
Major Funders: Arts & Humanities

Total monetary value of granted awards in Arts & Humanities

4.0 billion dollars

Source: “Behind the Data” by Gali Halevi, Elsevier and Judit Bar-Ilan, Bar-Ilan University (March, 2013)
A&H Funding by Sponsor Type

- State/Provincial Gov: 118,445 awarded grants
- Federal/National Gov: 72,528
- Private: 64,049
- Foundation: 60,238
- Academic: 15,786
- International: 15,198
- Corporate: 12,680
- Professional Associations and Societies: 6,514

Source: “Behind the Data” by Gali Halevi, Elsevier and Judit Bar-Ilan, Bar-Ilan University (March, 2013)
A&H Funding by Sponsor Type, Award Amount

Source: “Behind the Data” by Gali Halevi, Elsevier and Judit Bar-Ilan, Bar-Ilan University (March, 2013)
Major Funders: Arts & Humanities

- Funds allocated for A&H activities are declining
- State and Federal bodies are still the major funding bodies of A&H
- The Federal government is still main source of funding for awards worth a million or more
- Research-related grants are primarily received by principal investigators rather than institutions; institutions receive A&H grants mostly for community and specific projects
Major Funders: Federal Funding for A&H

National Endowment for the Humanities (NEH)
Match Your Project to a Grant Program

National Endowment for the Arts (NEA)
Grants by Artistic Fields
http://arts.gov/artistic-fields

National Archives and Records Administration (NARA)
National Historical Publications and Records Commission

Institute of Museum and Library Sciences (IMLS)

Smithsonian Institution
Community Grants Program
Major Funders: Private Funders in A&H and SS

50 Largest Independent Foundations in the U.S. by Total Giving, 2011

<table>
<thead>
<tr>
<th>Foundation Name</th>
<th>State</th>
<th>Type*</th>
<th>Total Giving</th>
<th>Assets</th>
<th>Gifts Received</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bill &amp; Melinda Gates Foundation</td>
<td>WA</td>
<td>IN</td>
<td>$3,239,412,884</td>
<td>$34,640,122,664</td>
<td>$3,963,728,805</td>
</tr>
<tr>
<td>Walton Family Foundation, Inc.</td>
<td>AR</td>
<td>IN</td>
<td>$487,795,351</td>
<td>$1,701,739,894</td>
<td>$772,585,680</td>
</tr>
<tr>
<td>Ford Foundation</td>
<td>NY</td>
<td>IN</td>
<td>$478,286,000</td>
<td>$10,984,721,000</td>
<td></td>
</tr>
<tr>
<td>The Robert Wood Johnson Foundation</td>
<td>NJ</td>
<td>IN</td>
<td>$386,290,492</td>
<td>$8,967,712,917</td>
<td>$1,164</td>
</tr>
<tr>
<td>The William and Flora Hewlett Foundation</td>
<td>CA</td>
<td>IN</td>
<td>$353,626,583</td>
<td>$7,296,506,125</td>
<td>$45,859</td>
</tr>
<tr>
<td>W. K. Kellogg Foundation</td>
<td>MI</td>
<td>IN</td>
<td>$296,947,872</td>
<td>$7,256,863,114</td>
<td></td>
</tr>
<tr>
<td>Foundation to Promote Open Society</td>
<td>NY</td>
<td>IN</td>
<td>$247,922,906</td>
<td>$2,238,969,129</td>
<td>$320,000,000</td>
</tr>
<tr>
<td>Foundation Name</td>
<td>State</td>
<td>Type</td>
<td>Total Giving</td>
<td>Assets</td>
<td>Gifts Received</td>
</tr>
<tr>
<td>----------------------------------------------</td>
<td>-------</td>
<td>------</td>
<td>----------------</td>
<td>-------------------</td>
<td>-----------------</td>
</tr>
<tr>
<td>The Susan Thompson Buffett Foundation</td>
<td>NE</td>
<td>IN</td>
<td>$247,394,595</td>
<td>$2,584,393,426</td>
<td>$160,478,045</td>
</tr>
<tr>
<td>The Andrew W. Mellon Foundation</td>
<td>NY</td>
<td>IN</td>
<td>$246,850,232</td>
<td>$5,262,632,426</td>
<td></td>
</tr>
<tr>
<td>The David and Lucile Packard Foundation</td>
<td>CA</td>
<td>IN</td>
<td>$245,201,550</td>
<td>$5,797,424,139</td>
<td></td>
</tr>
<tr>
<td>Gordon and Betty Moore Foundation</td>
<td>CA</td>
<td>IN</td>
<td>$241,931,498</td>
<td>$5,366,672,508</td>
<td></td>
</tr>
<tr>
<td>The John D. and Catherine T. MacArthur Found</td>
<td>IL</td>
<td>IN</td>
<td>$222,344,138</td>
<td>$5,703,076,554</td>
<td></td>
</tr>
<tr>
<td>Lilly Endowment Inc.</td>
<td>IN</td>
<td>IN</td>
<td>$213,584,903</td>
<td>$6,147,545,678</td>
<td>$27,834,439</td>
</tr>
<tr>
<td>Annenberg Foundation</td>
<td>CA</td>
<td>IN</td>
<td>$179,133,137</td>
<td>$1,533,234,915</td>
<td>$414,531</td>
</tr>
<tr>
<td>Donald W. Reynolds Foundation</td>
<td>NV</td>
<td>IN</td>
<td>$169,556,911</td>
<td>$372,686,890</td>
<td></td>
</tr>
<tr>
<td>Margaret A. Cargill Foundation</td>
<td>MN</td>
<td>IN</td>
<td>$141,981,386</td>
<td>$2,554,461,471</td>
<td>$454,373,429</td>
</tr>
</tbody>
</table>
Major Funders: Community and Local

massHUMANITIES
• Each state has a humanities foundation; often partners with the NEH

Local Arts Foundations
• For example:
  • Massachusetts Cultural Council;
  • Cambridge Cultural Council;
  • Boston Foundation

Corporate Community Giving
• Boston-area examples:
  • Fidelity Foundation;
  • Microsoft Corporation;
  • Liberty Mutual Foundation
BY ISSUE FOCUS

PRIVATE FOUNDATION GIVING - 2013

- **HEALTH**: 28% ($6.8 B)
- **EDUCATION**: 20% ($5 B)
- **ARTS AND CULTURE**: 14% ($3.5 B)
- **HUMAN SERVICES**: 14% ($3.5 B)
- **PUBLIC AFFAIRS/SOCIETY BENEFIT**: 9% ($2.3 B)
- **ENVIRONMENT AND ANIMALS**: 6% ($1.5 B)
- **INTERNATIONAL AFFAIRS**: 3% ($693 M)
- **SCIENCE AND TECHNOLOGY**: 2% ($535 M)
- **RELIGION**: 2% ($471 M)
- **SOCIAL SCIENCES**: 1% ($234 M)

1 Includes civil rights, economic and community development, philanthropy, and public affairs.

2 Includes international affairs, peace and security, and human rights. Does not include all international giving by U.S. foundations.
Private Giving by Discipline

- Arts & Culture 10.5%
- Public Affairs/Society Benefit 11.8%
- Health 22.6%
- Human Services 13.1%
- Education 23.3%
- International Affairs 5.5%
- Science & Technology 2.6%
- Religion 2%
- Environment & Animals 7.4%
- Social Sciences 1%

Total Dollars = $22.1 billion
Source: The Foundation Center, *Foundation Giving Trends*, 2011

*Based on a sample of 1,384 larger foundations*
Private Giving in Arts & Culture

Total Dollars = $2.3 Billion

Source: The Foundation Center, 2013.
Promoting a Culture of Grant-Writing

- **Programming**: workshops run by faculty or RD staff, for faculty
- **Sponsor Visits**: work with regional partners
- **Partnership with the Dean** and other key figures
- **Outreach**: especially for new faculty members
- **Dissemination**: of notable funding opportunities
- **Research Development Staff**: devoted to non-STEM disciplines
The Art of Proposal Writing

Please click on the “Register Now” tab at the bottom of the page to register for this event.

You are invited to a program on proposal writing to be held on September 25th, 3:30-5:00 pm in the Barker Center Plimpton Room; refreshments will be provided.

The Art of Proposal Writing program is designed for arts and humanities and social sciences faculty who plan to apply for external funding, with a focus on writing fellowship and sabbatical proposals. The program will feature a panel of two senior FAS faculty who have written award-winning proposals, Alexander Rehding and Erez Manela; each will provide strategies for developing a successful proposal based on their own experiences as successful grant writers. Cynthia Verbe, Director of Fellowships in the Graduate School of Arts and Sciences, will also share a list of her top five proposal writing strategies. There will be ample opportunity for discussion.

Panel participants
Alexander Rehding, Fanay Peabody Professor of Music; Affiliate of the Department of Germanic Languages and Literatures; Chair of the Department of Music
Erez Manela, Professor of History

Please register by Friday, September 20th.

The Barker Center is located near the intersection of Quincy Street and Harvard Street; Plimpton Room is located on the first floor across from the Thompson Room.

Workshops

- Teach proposal writing genre – this isn’t taught in grad school!
- Organize a diverse faculty panel of successful applicants
- Foster informal mentoring
- Encourage informal peer review networks
- Convene meetings around topics and funding opportunities (with lunch)
- Writing groups
Sponsor Visits

- With Federal budgets tightened, work with sponsors to offer a regional workshop that is open to the public

- Organize one-on-one consultations for faculty

- Incorporate local or affiliate foundations, such as your state’s humanities foundation (e.g., massHUMANITIES in MA)

- Use the opportunity to offer RD services and resources to those faculty who attend
Outreach

• Work with Divisional Deans to send information about Research Development services

• Target new faculty
  • Require attendance at proposal writing seminar
  • New faculty funding newsletter

• Go on the road—present your services at department meetings (or at least with chairs) and solicit feedback

• Facilitate cross-disciplinary connections

• Faculty survey – as much to elicit feedback as to advertise services

• Develop a brochure
RESOURCES

National Humanities Alliance
National Humanities Alliance (NHA) is an advocacy coalition dedicated to the advancement of humanities education, research, preservation, and public programs

Social Science Research Council SSRC
The SSRC pursues its mission by working with practitioners, policymakers, and academic researchers in the social sciences, related professions, and the humanities and natural sciences. SSRC award fellowships and grants, convene workshops and conferences, conduct research and participate in research consortia, sponsor scholarly exchanges, and produce print and online publications.
RESOURCES

American Council for Learned Societies (ACLS)
ACLS, a private, nonprofit federation of 72 national scholarly organizations, is the preeminent representative of American scholarship in the humanities and related social sciences. Advancing scholarship by awarding fellowships and strengthening relations among learned societies is central to their work. Other activities include support for scholarly conferences, reference works, and scholarly communication innovations.

Rebuilding the Mosaic
RESOURCES

Foundation Directory Arts and Humanities Funding
http://foundationcenter.org/getstarted/topical/indiv.html#arts

H Net Online
H-Net creates and coordinates Internet networks with the common objective of advancing teaching and research in the arts, humanities, and social sciences.
http://www.h-net.org/announce/group.cgi?type=Funding

Walker, Barbara
A book on proposal writing for arts and humanities and social science researchers is forthcoming in late 2014/early 2015 (under contract with Left Coast Press)
Major Funders: Private Foundations

Alfred P. Sloan Foundation (science, technology, education, and other topics)
American Psychological Foundation (APA nonprofit organization)
Andrew W. Mellon Foundation (wide range of programs)
Annie E. Casey Foundation (for disadvantaged children and their families)
Benton Foundation (social justice, communication, and the digital divide)
Carnegie Corporation of New York (education, peace, health, and other areas)
Charles A. Dana Foundation (health and education)
David and Lucile Packard Foundation (wide range of programs)
Foundation for Psychocultural Research (interdisciplinary research projects)
Ford Foundation (wide range of programs)
Geraldine R. Dodge Foundation (arts, education, environment/animal protection)
Glaser Progress Foundation (working to build a more just, sustainable future)
Harry Frank Guggenheim Foundation (violence, aggression, and dominance)
The Haynes Foundation (social science research for the public good)
James McDonnell Foundation (biomedical and behavioral sciences)
John D. and Catherine T. MacArthur Foundation (wide range of programs)
John and Mary R. Markle Foundation (media and communications technology)
John Simon Guggenheim Memorial Foundation (wide range of grants areas)
John Templeton Foundation (exploring "life's biggest questions")
Pew Charitable Trusts (wide range of programs)
Robert Wood Johnson Foundation (health, health care, and substance abuse)
Rockefeller Brothers Fund (wide range of programs)
Russell Sage Foundation (research in the social sciences)
Turner Foundation (environmental protection and population control)
William T. Grant Foundation (research that improves the lives of young people)
W. W. Kellogg Foundation (helping people help themselves)
Questions?

- Susan Gomes, sgomes@fas.harvard.edu
- Barbara Walker, barbara.walker@ucsb.edu
- Caitlin McDermott-Murphy, cmcdermottmurphy@fas.harvard.edu