

Research Development Lessons Learned: Strategies for Securing Research Funding

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Agenda

Overview of BYU

Research Development Challenges

Meeting the Challenges

Outcomes

Summary

Brigham Young University

- Founded in 1875 and sponsored by the Church of Jesus Christ of Latter-day Saints
- Among the largest private universities in the U.S. (largest religious university)
- Over 30,000 undergraduate and graduate students
- Highly ranked in various surveys of universities (best value, quality of education, least debt, etc.)
- Carnegie classification-**R2: Doctoral Universities** Higher research activity (<\$40M outside funding/year)





Undergraduate Teaching Emphasis

"All faculty members at BYU teach regularly, an important commitment at a university that focuses on providing a premier undergraduate education. BYU professors are also active in research in order to stay current in their fields and contribute to a resolution of world problems.1"

¹From BYU Academic Overview

Research Support

University provides

- Full faculty salary salary does not depend on research funding (although tenure does for many departments)
- Start-up funds to new faculty
- Research laboratory facilities and funds for equipment
- Faculty research and student mentoring grants

Significant student involvement in research

- Graduating seniors who worked with a faculty member on a research project¹
 - o 36% for all disciplines at BYU (higher in STEM disciplines)
 - 24% for other research universities
 - 22% for universities with over 20,000 undergraduates
- Significant number of students co-author research articles in peer-reviewed journals
- Significant number of students present at major conferences



Wide Range of Research and Scholarship Efforts

Departments and Disciplines with Active Research/Scholarship Programs

Physical & Mathematical Scienc

- Chemistry
- Biochemistry
- Geology
- **Physics**
- Astronomy
- Computer sciences
- Mathematics
- Statistics

Engineering and Technology

- · Chemical engineering
- Civil engineering
- Electrical engineering
- Mechanical engineering
- School of Technology



Family, Home and Social Sciences

- Anthropolgy
- **Economics**
- History
- Geography
- **Psychology**
- Archeology Family Life
- **Economics**
- Political sciences
- Sociology
- Social Work



Life Sciences

- Agriculture
- Biology
- **Health Sciences**
- Microbiology and Molecular Biology
- · Nutrition, Dietetics and Food Science
- Physiology
- **Developmental Biology**
- Exercise Science
- Plant and Wildlife Sciences

Education

- **Education and Schooling**
- **Counseling and Special Education**
- Teacher Education

Nursing Humanities (Languages) Law **Visual and Performing Arts Business**



Research Impact

- Faculty in many disciplines
 - o Regularly publish the results of their research in top journals
 - Serve as editors on publications, proposal review panels
 - Participate in, and lead professional organizations
- Faculty have received national and international recognition
- Quality of research experience students receive reflected in graduation statistics
 - BYU consistently among the top 10 schools who send graduates on to PhD programs
- BYU #1 in technology transferred per dollar invested in research

Challenges Securing Research Funding

- No "big picture" of BYU research expenditures
 - No central repository for reporting all funds that are expended for research
- No history of Research Development
 - There has never been a central, university-level RD effort 0
 - Some effort by research administration to help with proposal development
 - No RD professionals until 2012 0
 - Now two full-time, one part-time professional to support 4 colleges, over 650 faculty
 - Not all faculty or administrators see the need for RD 0

Challenges Securing Research Funding

- Faculty have heavy teaching loads
- Faculty (particularly newer faculty)
 - Have limited experience in finding funding
 - Are inexperienced at writing and marketing proposals
- Silo'ed research
 - Less than 30% of research awards are interdisciplinary or multidisciplinary
 - No tools or formal programs for learning about research across campus
- Lack of extensive graduate research programs
 - Focus on undergraduate education makes it more difficult to develop graduate programs
 - Few graduate students receive fellowships from federal sources

Meeting the Challenges

- Create "big picture" of BYU research expenditures
 - Working with Office of Research and Creative Activities (ORCA) to develop more complete reports of research funding
 - Determining funding from individual colleges
 - Instituting more standardized reporting 0
- Advocate for BYU as a research institution
 - Host funders on campus (NASA, NSF, NIH, Army Research Labs, Office of Naval Research, National Geospatial Intelligence Agency, Fulbright, etc.)
 - Provide lab tours
 - Arrange individual faculty meetings
 - Visit funders
 - Federal and private

Meeting the Challenges

Grow Research Development by:

- Monthly RD bulletins and newsletters
- Targeted emails
- Presentations and college and department meetings
- Research Development Website
- Regularly meet with individual faculty





Grow Research Development with Support from Key Faculty

- Faculty panels share experiences during funder and proposal development seminars
 - Panelists become consultants
- Faculty-led proposal abstract reviews
- Faculty panels for proposal reviews
- Program Officers and funder referrals
- Successful proposal examples
- Support from Deans and Department Chairs





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Meeting the Challenges

- Work around heavy teaching loads
 - RD takes some of the burden from faculty by:
 - Finding opportunities
 - Helping manage proposal preparation
 - Developing seminars by experts in certain types of funding (NIH, NSF)
 - Helping graduate students find and apply for funding
- Find funders that work with BYU's expertise
 - Many BYU faculty have foreign language expertise and have lived outside the U.S.
 - RD person is the university administrator for Fulbright Fellowships and Awards
 - Conducts Fulbright funding seminars
 - Reviews proposals



Fulbright Representative Impact: The first year RD supported effort, high success rate (4 awards and 1 alternate out of 5 proposals submitted). In the 10 years prior to RD support, 28 awards received out of 114 proposals submitted



Meeting the Challenges

Help faculty find funding opportunities

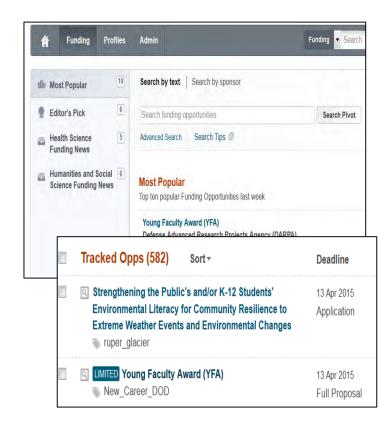
- Encourage faculty to systematically and regularly conduct funding searches
- Encourage faculty to attend funder conferences (RD attends as well)
- Help faculty "cast a wide net" to find funding opportunities
 - University Philanthropies
 - Colleagues, journal citations, program officers
 - Federal agencies, associations, foundations, companies, state and municipal agencies
 - Alternative sources (crowd funding, Benefunder, Nine Sigma, etc.)



Meeting the Challenges

Help faculty find funding using online search tools

- RD does individual and group funding searches
- Online tools that RD provides training on:
 - **PIVOT**
 - Foundation Center
 - Grants.gov, CFDA, other online search tools
 - **Funder websites**
- RD provides knowledge about funders



Meeting the Challenges

Break down the silos with:

- Speed Networking Event
 - Format is a 3-minute presentation to group of diverse faculty including non-presenters (about 30 presenting to about 60)
 - Focus is on identifying potential collaboration opportunities and learning about research across campus
- Informational Seminars
 - Specific Funders (NSF, NIH, DoD, NASA, DOE, Fulbright)
 - Proposal Development topics (developing fundable idea, finding funding, pre-proposal, proposal writing, editing and revising, marketing)
 - One key to successful seminars faculty panels





Meeting the Challenges

Break down the silos with:

- Interdisciplinary Teams
 - Identify possible teams from grand challenges
 - Identify potential team members
 - From faculty requests
 - From database of faculty research interests
 - Facilitate team meetings
 - Typically provide lunch
 - Identify and decompose funding opportunities
 - Initiate discussions by having faculty share research interests and methods used
 - Lots of brainstorming
 - Identify college funding that can support initial research efforts

Goal: eventually one or several proposal efforts result from IDR discussions



Facilitating Interdisciplinary Teams: Have a room set aside for IDR efforts

- Large computer display screen
 - HDMI connection
 - Chromecast
 - Speaker phone
- Printer
- White boards
- Storage cabinets
- Reconfigurable as long conference table or separate work areas



Help inexperienced faculty write proposals:

- Proposal Development Bootcamp and Workshops
 - 2-day workshop each year at end of Fall semester presented by RD specialists
 - 4-day bootcamp at end of Spring semester presented by RD specialists and a faculty member who teaches proposal writing to students
 - Topics: pre-proposal activities; writing, editing, revising; marketing
- Faculty write and critique each others efforts
 - Ideas
 - Draft proposals
- Support after workshop and bootcamp
 - Help faculty generate complete proposals
 - Convene faculty review panel

Be proactive about reporting RD efforts:

- Formal status report to deans every 6 months
 - Coordinate less formally several times per month
- Identify success
 - **Develop metrics**
 - Gauge faculty responses through Qualtrics surveys
 - Use comments to improve workshops and better target our efforts 0 to faculty needs
 - Share success stories with college administrators
- Identify improvements
- Outline future activities

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Meeting the Challenges

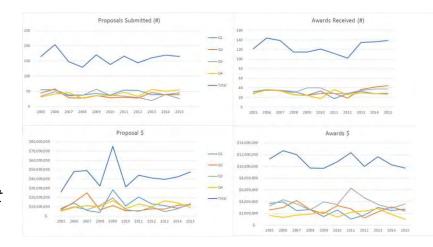
Examples of metrics:

Funding levels

- RD reports # and \$ of proposals submitted and awards received by quarter
 - Look for trends
 - Don't tie RD effort to award amounts ... RD has no authority to force a proposal development effort
- Estimate "backlog"
 - Funding levels if no new awards received
 - Declining numbers of new awards is a cause for concern

RD Impact

- o Several awards can be directly tied to RD efforts
- o Track all faculty helped in some way (find funding, proposal help, training, seminars)
 - Faculty who were helped submitted 25-30% more proposals and received 25-30% more awards than those who were not helped





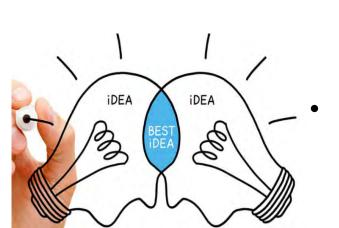
Outcomes

- Types of RD efforts with positive outcomes:
 - Intensive writing workshops
 - Workshops on finding funding 0
 - Seminars on how to secure funding from particular sources (NSF, 0 NIH, Fulbright, etc.)
 - Opportunities for faculty to interact with program officers 0
 - Individual proposal review and editing 0
 - Possibility of making RD a central university function
- RD efforts that are still evolving
 - Progress of IDR teams from brainstorming to proposal writing 0
 - Engaging additional research funding resources at BYU (e.g., philanthropies)

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Summary





- Four years of research development has helped us identify what works and what doesn't
 - NORDP conferences have lead to new workshops and research development efforts
 - Even though BYU has no formal, central RD function, RD specialists have become a "virtual" university-wide research development group
 - We represent colleges and departments that do most of the research on campus
 - We coordinate most of our activities across the colleges we support
- Our RD effort is still evolving